



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GOTTSCHALK-GAUDIG ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/599,026

Filed: September 18, 2006

For: PARTICLE-STABILISED EMULSIONS

Attorney Docket No.: WAS 0809 PUSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Dec. 4, 2006

Date of Deposit

William G. Conger
Name of Person Signing

W.G. Conger
Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Christopyh Gößmann describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

GOTTSCHALK-GAUDIG ET AL.

By: 
William G. Conger
Reg. No. 31,209
Attorney for Applicant

Date: Dec. 4, 2006

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

10

2

Complete if Known

Application Number	10/599,026
Filing Date	September 18, 2006
First Named Inventor	GOTTSCHALK-GAUDIG ET
Art Unit	
Examiner Name	
Attorney Docket Number	WAS 0809 PUSA

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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	FOREIGN PATENT DOCUMENT		Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY		
		DE 102 38 649 A1	03-11-2004	Beiersdorf AG	
		EP 1 433 749 A1	12-18-2003	Wacker Chemie	
		EP 0 987 008 A2	03-22-2000	Beiersdorf AG	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/599,026
				Filing Date	September 18, 2006
				First Named Inventor	GOTTSCHALK-GAUDIG ET
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	WAS 0809 PUSA

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		English Abstract corresponding to DE 102 38 649 A1.			
		English Abstract corresponding to EP 0 987 008 A2.			
		English Abstract corresponding to ZINDEL, ET AL.: "Dispersions and Emulsions", Darmstadt 1997, ISBN 3-7985-1087-3, page 1 to 4.			
		ZINDEL, ET AL.: "Dispersions and Emulsions", Darmstadt 1997, ISBN 3-7985-1087-3, page 1 to 4.			
		DE ROOIJ, ET AL.: "Steady Shear Viscosity of Weakly Aggregating Polystyrene Latex Dispersions", J. Chem. Phys., Vol. 99, No. 11, Dec. 1, 1993, p. 9213 - 9223.			
		SCHREIBER, ET AL.: "Inverse Gaschromatography" – "Characterisation of Polymers and Other Materials", 391 ACS Symposium Series, Chapter 18, pages 248 – 261, ACS, Washington D			
		SEARS, ET AL.: "Determination of Specific Surface Area of Colloidal Silica by Titration with Sodium Hydroxide", Anal. Chem., Vol. 28, No. 12, (1950), 1981.			
		WASHBURN, E. W., "The Physical Review - The Dynamics of Capillary Flow", Vol. 17, No. 3, March 1921, pp. 273ff.			
		SCHOELKOPF, ET AL.: "Measurement and Network Modeling of Liquid Permeation into Compacted Mineral Blocks", Journal of Colloid and Interface Science 227, pp. 119 – 131 (2000).			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
GOTTSCHALK-GAUDIG; ET AL. :
Serial Number : Group Art Unit:
Filed:
For: PARTICLE-STABILISED EMULSIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Christoph Gößmann, associated with the preparation and prosecution of the above-identified application, residing at Georg-Höpfl-Straße 11, 84453 Mühldorf, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 2003/175317 A1, cited in the International Search Report, is enclosed.

For DE 102 38 649 A1, cited in the International Search Report, a corresponding English Abstract is enclosed.

For EP 1 433 749 A1, cited in the International Search Report, the corresponding US 2004/131527 A1 is enclosed.

For EP 0 987 008, cited in the application, a corresponding English Abstract is enclosed.

US 2005/0266055 A1, cited in the application, is enclosed.

ZINDEL, ET AL.: "Dispersions and Emulsions", Darmstadt 1997, ISBN 3-7985-1087-3, page 1 to 4, cited in the application (cited erroneously as page 14 in the application), and a corresponding English Abstract is enclosed.

DE ROOIJ, ET AL.: "Steady Shear Viscosity of Weakly Aggregating Polystyrene Latex Dispersions", J. Chem. Phys., Vol. 99, No. 11, Dec. 1, 1993, p. 9213 - 9223, cited in the application, is enclosed in English language.

SCHREIBER, ET AL.: "Inverse Gaschromatography" – "Characterisation of Polymers and Other Materials", 391 ACS Symposium Series, Chapter 18, pages 248 – 261, ACS, Washington DC 1989, cited in the application, is enclosed in.

SEARS, ET AL.: "Determination of Specific Surface Area of Colloidal Silica by Titration with Sodium Hydroxide", Anal. Chem, Vol. 28, No. 12, (1950), 1981, cited in the application, is enclosed in English language.

WASHBURN, E. W., "The Physical Review - The Dynamics of Capillary Flow", Vol. 17, No. 3, March 1921, pp. 273ff., cited in the application, is enclosed in English language..

SCHOELKOPF, ET AL.: "Measurement and Network Modeling of Liquid Permeation into Compacted Mineral Blocks", Journal of Colloid and Interface Science 227, pp. 119 – 131 (2000), cited in the application, is enclosed.

Signed this 16 day of November, 2006.



Christoph Gößmann